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| FORM PTO-1449 | | Attorney Docket Number | 41546/DBP/Y35 |
| INFORMATION DISCLOSURE | | Application Number | N/A |
| STATEMENT BY APPLICANT | | Filing Date | January 19, 2001 |
| (use as many sheets as necessary) | | Applicant(s) | Jin-Sung Kim, et al. |
| | | Group Art Unit | N/A |
| | | Examiner Name | N/A |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------|------------|----------|-------|----------|----------------------------|
| EXAMINER INITIALS | DOCUMENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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| EXAMINER INITIALS | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | YES |
| | 97-73918 | | Japan (On Order) | See | IDS | #3 |
| | 96-321312 | | Japan (On Order) | See | IDS | #3 |
| | 96-64238 | | Japan (On Order) | See | IDS | #3 |
| | 94-333596 | | Japan (On Order) | See | IDS | #3 |
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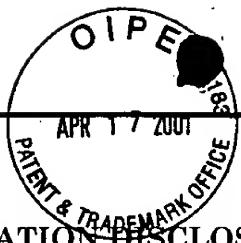
| OTHER DOCUMENTS | | | | | | |
|-------------------|--|--|--|--|--|--|
| EXAMINER INITIALS | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | |
| | <u>J. Power Sources, 51(1994), 79-104 (On Order)</u> See IDS #3 | | | | | |
| | <u>J. Power Sources, 72(1998), 66-70 (On Order)</u> See IDS #3 | | | | | |
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| EXAMINER SIGNATURE | <u>Suey Tsang Foster</u> | DATE CONSIDERED | 8/24/02 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | |

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

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|------------------------|----------------------|
| Attorney Docket Number | 41546/DBP/Y35 |
| Application Number | 09/766,520 |
| Filing Date | January 19, 2001 |
| Applicant(s) | Jin-Sung Kim, et al. |
| Group Art Unit | 1745 |
| Examiner Name | To be determined |

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| EXAMINER INITIALS | DOCUMENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | TRANSLATION YES NO |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION |
|-------------------|-----------------|------------------|--------------------------|-------|----------|--|
| | | | | | | YES NO |
| JS | 09-073918 | 03/1997 | Japan | | | with English translation of Abstract <u>only</u> |
| JS | 08-321312 | 12/1996 | Japan | | | with English translation of Abstract <u>only</u> |
| JS | 08-064238 | 03/1996 | Japan | | | with English translation of Abstract <u>only</u> |
| JS | 06-333596 | 12/1994 | Japan | | | with English translation of Abstract <u>only</u> |

OTHER DOCUMENTS

| | |
|-------------------|--|
| EXAMINER INITIALS | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| JS | Megahed, et al., "Lithium-ion rechargeable batteries", Journal of Power Sources, 51(1994), pp. 79-104 <u>MONTH NOT AVAILABLE</u> |
| JS | Yang, et al., "Composition analysis of the passive film on the carbon electrode of a lithium-ion battery with an EC-based electrolyte", Journal of Power Sources, 72(1998), pp. 66-70, <u>March 1998</u> |

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| EXAMINER SIGNATURE | <i>Susan Tseng Fote</i> | DATE CONSIDERED | 8/25/02 |
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